

# DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

|                        |                  |
|------------------------|------------------|
| Attorney Docket Number | 66888-319995     |
| First Named Inventor   | Robert L. Garcea |
| COMPLETE IF KNOWN      |                  |
| Application Number     | 10/541,895       |
| Filing Date            | July 7, 2005     |
| Group Art Unit         |                  |
| Examiner Name          |                  |

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing

## I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed for and for which a patent is sought on the invention entitled:

"THERAPEUTIC AND PROPHYLACTIC VACCINE FOR THE TREATMENT AND PREVENTION OF PAPILLOMAVIRUS INFECTION"

(Title of the Invention)

the specification of which

☐ is attached hereto  
OR

☒ was filed on (MM/DD/YYYY) January 6, 2004 as United States Application Number or PCT International

Application Number PCT/US2004/000196 and was amended on (MM/DD/YYYY) (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation in part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119 (a)-(d) or (f), or § 365(b) of any foreign application(s) for patent, inventor's or plant breeder's right certificate(s), or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's right certificate(s), or of any Pct international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed     | Certified Copy Attached? |                          |
|-------------------------------------|---------|----------------------------------|--------------------------|--------------------------|--------------------------|
|                                     |         |                                  |                          | Yes                      | No                       |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto.

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below

| Application Number(s) | Filing Date (MM/DD/YYYY) |  |
|-----------------------|--------------------------|--|
| 60/439,224            | January 10, 2003         | <input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto. |

**DECLARATION -- Utility or Design Patent Application**

Page 2

Direct all  
Correspondence to:☒ The address associated with  
Customer Number:**25764**

OR

☒ Correspondence address below

Name

FAEGRE &amp; BENSON LLP

Address

2200 Wells Fargo Center, 90 South Seventh Street

City

Minneapolis

State

Minnesota

Zip

55402

Country

United States of America

Telephone

612-766-7000

Fax

3612-766-1600

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**Name of Sole or First Joint Inventor:**☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Robert L.

Family Name or Surname

Garcea

Inventor's Signature

*Robert L. Garcea*

Date

9/22/05

Residence: City

Boulder

State

Colorado

Country

United States of America

Citizenship

United States of America

Mailing Address

2505 Pampas Court

City

Boulder

State

Colorado

Zip

80301

Country

United States of America

**Name of Inventor:**☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Renee

Family Name or Surname

Finnen

Inventor's Signature

Date

Residence: City

Denver

State

Colorado

Country

United States of America

Citizenship

Canadian

Mailing Address

765 Holly Street

City

Denver

State

Colorado

Zip

80220

Country

United States of America

☐ Additional inventors or a legal representative are being named on the \_\_\_\_\_ supplemental sheet(s) PTO/SB02A or 02LR attached hereto

# DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

Attorney Docket Number

66888-319995

First Named Inventor

Robert L. Garcea

COMPLETE IF KNOWN

Application Number

10/541,895

Filing Date

July 7, 2005

Group Art Unit

Examiner Name



Declaration  
Submitted with  
Initial Filing

OR



Declaration  
Submitted after  
Initial Filing

## I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed for and for which a patent is sought on the invention entitled:

"THERAPEUTIC AND PROPHYLACTIC VACCINE FOR THE TREATMENT AND PREVENTION OF  
PAPILLOMAVIRUS INFECTION"

(Title of the Invention)

the specification of which



is attached hereto

OR



was filed on (MM/DD/YYYY)

January 6, 2004

as United States Application Number or PCT International

Application Number

PCT/US2004/000196

and was amended on (MM/DD/YYYY)

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation in part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119 (a)-(d) or (f), or § 365(b) of any foreign application(s) for patent, inventor's or plant breeder's right certificate(s), or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's right certificate(s), or of any Pct international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application<br>Number(s) | Country | Foreign Filing Date<br>(MM/DD/YYYY) | Priority<br>Not Claimed  | Certified Copy Attached? |                          |
|--|---------|-------------------------------------|--------------------------|--------------------------|--------------------------|
|  |         |                                     |                          | Yes                      | No                       |
|  |         |                                     | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto.

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below

| Application Number(s) | Filing Date (MM/DD/YYYY) |                          |   |
|-----------------------|--------------------------|--------------------------|---|
| 60/439,224            | January 10, 2003         | <input type="checkbox"/> | Additional provisional application numbers are listed on a supplemental priority sheet attached hereto. |

## DECLARATION -- Utility or Design Patent Application

Page 2

Direct all  
Correspondence to:☒ The address associated with  
Customer Number:

25764

OR ☒ Correspondence address below

Name

FAEGRE &amp; BENSON LLP

Address

2200 Wells Fargo Center, 90 South Seventh Street

City

Minneapolis

State

Minnesota

Zip

55402

Country

United States of America

Telephone

612-766-7000

Fax

3612-766-1600

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Joint Inventor:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Robert L.

Family Name or Surname

Garcea

Inventor's Signature

Date

Residence: City

Boulder

State

Colorado

Country

United States of America

Citizenship

United States of America

Mailing Address

2505 Pampas Court

City

Boulder

State

Colorado

Zip

80301

Country

United States of America

Name of Inventor:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Renee

Family Name or Surname

Finnen

Inventor's Signature

Date

9/21/05

Residence: City

Denver

State

Colorado

Country

United States of America

Citizenship

Canadian

Mailing Address

765 Holly Street

City

Denver

State

Colorado

Zip

80220

Country

United States of America

☐ Additional inventors or a legal representative are being named on the \_\_\_\_\_ supplemental sheet(s) PTO/SB02A or 02LR attached hereto

## POWER OF ATTORNEY

On behalf of the Regents of the University of Colorado, having a principal place of business at 4001 Discovery Drive, Suite 390, 588 Sys, Boulder, Colorado 80309 being the assignee and owner of all right, title and interest in the invention entitled "THERAPEUTIC AND PROPHYLACTIC VACCINE FOR THE TREATMENT AND PREVENTION OF PAPILLOMAVIRUS INFECTION", for which application for Letters Patent of the United States has been made by Robert L. Garcea and Renee Finnen, said application having been filed on July 7, 2005, receiving Serial No. 10/541,895, and further identified as Attorney File No. 66888-319995, I hereby appoint FAEGRE & BENSON LLP, 2200 Wells Fargo Center, 90 South Seventh Street, Minneapolis, Minnesota 55402, Customer No. **25764**, as attorneys and agents for the Regents of the University of Colorado with full powers of substitution, association and revocation to prosecute the application and related U.S. and foreign applications and to transact all business in the United States Patent and Trademark Office and all foreign and international patent offices connected therewith.

Date:

30 Sept 05

By:

David N. Allen

Print Name:

DAVID N. ALLEN, PH.D.

Title:

ASSOCIATE VICE PRESIDENT FOR TECH. TRANSFER